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4753-1-01 **Procedure for adoption of rules.**

(A) Prior to adoption, amendment, or recession of a rule, except an emergency rule, the Ohio board of speech-language pathology and audiology shall give public notice thereof, at least thirty days prior to the date set for public hearing by:

(1) Publishing notice on the 'Register of Ohio' at the Ohio legislative services commission.

(2) Mailing notice to any other person or organization that has filed a request for such notice within the previous three years.

[\(3\) Posting the notice on the board's website at www.slpaud.ohio.gov](http://www.slpaud.ohio.gov)

(B) The notice shall include all of the following:

(1) A statement of the board's intention to consider adopting, amending, or rescinding a rule;

(2) A synopsis of the proposed rule, amendment, or rescission or a general statement of the subject to which such rule relates;

(3) A statement of the reason or purpose for adopting, amending, or rescinding the rule;

(4) The date, time, and place of the public hearing;

(5) A statement that copies of the proposed rule, amendment, or recession are available, without charge, from the board's office;

(6) A statement that the public may offer comments on the proposed rule, amendment, or rescission in a letter or in person at the public hearing.

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4753-1-02 **Method of determining time and place of meetings.**

- (A) Any person may obtain the time and place of all regularly scheduled board, committee, and subcommittee meetings and the time, place, and purpose of all special meetings by:
- (1) Contacting the board of speech-language pathology and audiology in writing at its business address, 77 S. High Street, 16th floor, Columbus, Ohio 43215, or by electronic means;
 - (2) Calling the board of speech-language pathology and audiology at its business office at (614) 466-3145 during normal business hours; or
 - (3) Consulting the posting located at the business address of the board of speech-language pathology and audiology or on the board's website, - www.state.oh.us/slp/ www.slpaud.ohio.gov.
- (B) A representative of the news media may obtain notice of all special or emergency meetings of the board, committees, or subcommittees by annually requesting in writing that such notice be provided.
- (1) The request must provide the name of the individual to be contacted, his/her mailing address, a maximum of two telephone numbers where it is reasonable to expect that a phone message will be communicated to the individual, and the individual's electronic means address.
 - (2) The executive director shall maintain a list of all news media representatives requesting notice of special meetings.
 - (3) Notice of special meetings shall be provided to such media representative via mail, telephone or electronic means at least twenty-four hours prior to the special meeting. Notice of emergency meetings shall be provided to such media representative via telephone or electronic means as soon as possible.
 - (4) Notice given by mail shall be complete upon mailing. Notice given by telephone shall be complete upon leaving a message containing the meeting information or if after reasonable effort the board has been unable to leave a message. Notice given by electronic means is complete upon the message being sent by the board.
- (C) The board shall maintain a list of all persons who request, in writing, notice of board, committee, or subcommittee meetings at which specific subject matters designated by the person are scheduled to be discussed. The request must provide the name of the individual to be contacted, his/her mailing address, his/her electronic means address, and the specific subject matter designated. The board shall, no later than

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five days prior to the meeting, send by mail or electronic mail an agenda of the meeting to those persons.

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4753-1-03 **Business filing.**

- (A) Pursuant to division (A) of section 4753.12 of the Revised Code, an entity may provide speech-language pathology or audiology services without licensure if it employs or contracts individuals in the direct practice of speech-language pathology or audiology, in accordance with Chapter 4753. of the Revised Code and Administrative Code. Such entity, through its designated agent, no later than March first of each even numbered year, at the discretion of the board, shall submit a business filing with the board containing the following information: a statement swearing that it submits itself to the rules of the board and the provisions of Chapter 4753. of the Revised Code and the Administrative Code, and a list of all names, and addresses under which the entity provides speech-language pathology and audiology services and the names, license numbers, and home addresses of all individuals engaging in the direct practice of speech-language pathology and audiology as officers, agents or employees of the entity during the previous calendar year.
- (B) The business filing shall be submitted on the form approved by the board for this purpose and shall be verified by the notarized signature and title of the individual filing the statement on behalf of the entity.
- (C) An individual licensed pursuant to Chapter 4731. of the Revised Code who employs or contracts individuals in the direct practice of speech-language pathology or audiology is not required to submit a business filing. Medical entities, including but not limited to, hospitals, clinics, medical partnerships, medical corporations, speech-language pathology and audiology contracting agencies, which employ or contract individuals in the direct practice of speech-language pathology or audiology, are required to submit a business filing.

***** DRAFT – NOT FOR FILING *****

4753-1-05 **Duties of officers and staff.**

(A) The chairperson shall:

- (1) Designate the time and place of meetings by his/her own authority or at the written request of two or more board members.
- (2) Preside at all meetings or in case of his/her inability to attend any meeting, shall designate the vice-chairperson or in the event the vice-chairperson is not available, the chairperson shall designate one of the other members of the board to preside in his/her stead.
- (3) Exercise general supervision of the affairs of the board and shall have the usual powers of such office and any other powers and duties as the board may direct.
- (4) Sign all licenses and duplicates issued by the board.
- (5) Sign the official minutes of the proceedings of the board which shall remain on permanent file in the board office.

(B) The vice-chairperson shall:

- (1) Assist the chairperson in carrying out his/her duties when requested.
- (2) Preside over meetings in the absence of the chairperson or in the absence of any other direction by the chairperson.
- (3) Act on behalf of the chairperson in cases of extended incapacitation or long absence of the chairperson.
- (4) Sign all licenses and duplicates issued by the board.
- (5) Sign the official minutes of the proceedings of the board which shall remain on permanent file in the board office.
- ~~(6) Serve as the investigative team chair.~~

(C) The executive director shall:

- (1) Serve at the pleasure of the board and report to the chairperson.
- (2) Direct and manage all program activities of the board; supply the board with accurate, current information and professional advice; initiate new policies for consideration by the board; carry out all policies adopted by the board. Be responsible for the overall administration of the board's office including long-

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range planning and evaluation, and shall render administrative services to the board as required and report these activities to the board.

- (3) Keep the minutes of the proceedings at the board meetings and the records of the board.
- (4) Have custody of all fees received by the board including license fees and renewal fees, and shall be responsible for the transfer of such funds to the state treasurer.
- (5) Be responsible for reviewing applications and issuing licenses approved by the board and shall account to the auditor of state for all licenses, renewals and duplicate certificates handled by the board.
- (6) Be responsible for fiscal management of the board including preparation and submission of the budget for the board.
- (7) Hire, train and evaluate staff and be responsible for working conditions, staff relations; public relations and professional ethics.
- (8) Be responsible for the investigation of all complaints of violation of Chapters 4753. of the Revised Code and Administrative Code; work with the assistant attorney general assigned to the board to initiate warnings or legal actions, prepare materials for board hearings or appeals in court and draft legislative material for the board; attend and testify at hearings.
- (9) Handle public relations and public information by written and phone correspondence and act as liaison for the board with the legislature, government agencies, the profession, consumers, news media, and the general public; and
- (10) Assume such other duties as the board may direct.

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4753-3-02 **Certificate of license; display; duplicate license certificate.**

Each applicant who is approved for a license shall receive a license certificate for office display. All licensees shall display their certificate in a conspicuous place where the licensee practices as required by Section 4753.07 of the Revised Code. ~~Additional copies~~ [A copy](#) of the certificate shall be made available to approved licensees ~~for a fee as prescribed by rule 4753-5-04 of the Administrative Code.~~

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4753-3-03 **Notice of change of address.**

All applicants and licensees shall notify the board in writing [through the U.S. mail, e mail or fax](#) of any change of name, place of business or mailing address within thirty days of said change.

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4753-3-04 **Educational requirements for licensure.**

(A) To be eligible for licensure, an applicant must demonstrate that the following course work requirements are met:

(1) He/she obtained a broad general education, which may include study from among the areas of human psychology, sociology, psychological and physical development, the physical sciences, especially those that pertain to acoustic and biological phenomena, and human anatomy and physiology, including neuroanatomy and neurophysiology.

(2) For licensure as an audiologist applicants shall have obtained at least a doctor of audiology degree before or after January 1, 2006 or the equivalent from an audiology program accredited by an organization recognized by the "United States Department of Education" or at least a masters degree in audiology or equivalent obtained prior to January 1, 2006.

(a) The educational requirements shall be deemed met when the applicant is certified by the "American Board of Audiology".

(b) Academic credit for a doctor of audiology or equivalent shall include course work accumulated in the completion of a well integrated course of study dealing with the normal aspects of human hearing, balance, and related development and clinical evaluation, audiologic diagnosis and treatment of disorders of human hearing, balance, and related development.

(c) Applicants applying based on equivalency shall:

(i) Hold a post-baccalaureate doctoral degree with a major emphasis in audiology consisting of a minimum of three years of didactic coursework consisting of a total of 120 semester hours or equivalent quarter hours in the normal aspects of human hearing and balance, related development, clinical evaluation, audiologic diagnosis and treatment of disorders of human hearing, balance and related development.

(ii) Have completed a minimum of 350 clock hours of clinical experience prior to the fourth year of training supervised by an audiologist meeting the requirements of clinical supervisor stated in 4753-3-07(D)(1).

(iii) Have successfully completed a minimum of 2000 clock hours of clinical extern experience in the fourth year of academic training supervised by preceptor meeting the requirements stated in 4753-3-07(D)(1).

*** DRAFT – NOT FOR FILING ***

(iv) The course of study shall include content on ethical practice standards.

(v) Pass examination(s) approved by the board.

(d) Applicants applying based on at least a master's degree in audiology obtained prior to January 1, 2006 shall meet the requirements of 4753-3-04(A)(3), 4753-3-05, 4753-3-06 and 4753-3-07 as stated on October 1, 2005.

~~(2)~~(3) He/she obtained at least a master's degree in the area in which licensure is sought or the equivalent as determined by the board from a college or university accredited by one of the following regional or national accrediting organizations or their successor organizations:

- (a) "Middle States Association of Colleges and Schools- Commission on Higher Education"
- (b) "New England Association of Schools and Colleges"
- (c) "North Central Association of Colleges and Schools"
- (d) "Northwest Association of Schools and Colleges"
- (e) "Southern Association of Colleges and Schools"
- (f) "Western Association of Schools and Colleges - Accrediting Commission for Senior Colleges"

The best source for determining whether the college or university is accredited by one of the above organizations or successors is the college or university itself.

~~(3)~~(4) The academic credit upon which the master's degree or higher was awarded must include course work accumulated in the completion of a well-integrated course of study, as follows:

- (a) A total of seventy-five semester hours or one hundred twelve and one-half quarter hours were accumulated.
- (b) The course work consisted of at least the minimum number of hours in all areas listed below:
 - (i) Twenty-seven semester hours in basic science course work. Of the twenty-seven semester hours, six semester hours must be in biological/physical sciences and mathematics and six semester hours must be in behavioral or social sciences;

*** DRAFT – NOT FOR FILING ***

- (ii) Fifteen semester hours in basic human communication processes, including all of the following: the anatomic and physiologic bases, the physical and psychophysical bases, and the linguistic and psycholinguistic aspects;
 - (iii) Thirty-six semester hours in professional course work. Of the thirty-six semester hours, thirty of the semester hours must be in courses for which graduate credit was received and comply with the requirements below that are applicable to the area in which licensure is sought:
 - (a) For speech-language pathology: thirty semester hours shall be in speech-language pathology, with at least six semester hours in language disorders; six semester hours shall be in audiology, with three semester hours in hearing disorders and hearing evaluation and three semester hours in habilitative/rehabilitative procedures.
 - (b) For audiology: thirty semester hours shall be in audiology, with at least six semester hours in hearing disorders and hearing evaluation and at least six semester hours in habilitative/rehabilitative procedures; six semester hours shall be in speech-language pathology, not associated with hearing impairment, with three semester hours in speech disorders and three semester hours in language disorders.
 - (c) For both speech-language pathology and audiology, course of study shall include content on ethical practice standards.
- (B) Verification of education shall be the official transcript submitted to the board by the university or college.
- (1) No credit may be allowed for courses listed on the application unless satisfactory completion of the course is verified by an official transcript.
 - (2) Satisfactory completion is defined as the applicant's having received academic credit in semester hours, quarter hours, or other unit or credit with a passing grade as defined by the college or university.
 - (3) Where the course work is reported in quarter hours, the following formula will be used: one semester hour equals one and one half quarter hours.
 - (4) The applicant is solely responsible for authorizing the college or university to send an official transcript to the board.
 - (5) The board may require additional verification of course work content.

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- (C) Course work listed on a college or university transcript shall be evaluated under the following standards:
- (1) A specific course may be split and credited to no more than two categories. If a course is split, a description of the course taken from the university catalog must be submitted. At least one semester hour of the course must address the area in which partial credit is requested.
 - (2) Up to six semester hours for a thesis or dissertation may be accepted in the basic human communications processes or the professional course work categories.
 - (a) An abstract of the thesis/dissertation content must be submitted with the application.
 - (b) Academic credit that is associated with thesis or dissertation and for which graduate credit was received may apply in the professional area, but may not be counted as meeting any of the minimum requirements.
 - (c) "Minimum requirements" means six semester credit hours in speech disorders, six semester credit hours in language disorders, three semester credit hours in hearing disorders and hearing evaluations, three semester credit hours in habilitative/rehabilitative procedures, and twenty-one graduate semester credit hours in the area of licensure.
 - (d) Credit earned for research methodology courses, such as research methods, introduction to graduate study, etc., may be counted toward the thirty semester credit hours of course work at the graduate level but may not be used toward any of the minimum requirements.
- (D) Course work requirements for licensure shall be deemed to be met when the applicant was awarded a master's degree or higher in the area in which licensure is sought from a college or university program accredited by a regional or national specialized accrediting organization in speech-language pathology and/or audiology recognized by the "United States Department of Education" and the "Council for Higher Education Accreditation," One Dupont Circle Northwest, Suite 50, Washington, D.C. 20036-1135, or its predecessors or successors, at the time the master's degree was awarded.
- (E) Course work requirements for licensure shall be deemed to be met when the applicant holds a current certificate in audiology in good standing received from the "American Board of Audiology" when both of the following criteria are met:
- (1) Verification of certification is received from the "American Board of Audiology."
 - (2) The student clinical and professional experience completed for certification, if performed in Ohio, were done in conformance with Ohio law and rules.

*** DRAFT – NOT FOR FILING ***

- (F) Pursuant to section 4753.08(C) of the Revised Code, educational requirements for licensure shall be waived for an applicant who presents proof of a current certificate of clinical competence in the area in which licensure is sought that is in good standing and received from the "American Speech-Language-Hearing Association" when both of the following criteria are met:
- (1) Verification of certification is received from the "American Speech-Language-Hearing Association."
 - (2) The student clinical and professional experience completed for certification, if performed in Ohio, were done in conformance with Ohio law and rules.
- (G) In order to expedite the licensure of graduates prior to the preparation of final transcripts, the board shall consider on an individual basis any application for which the university provides a letter from the registrar, graduate officer or speech-language pathology and/or audiology department chairperson containing all of the following:
- (1) A statement that the final transcript is not available;
 - (2) A statement that the applicant has met all requirements for a master's degree or higher;
 - (3) A statement of the area in which the master's degree or higher was earned;
 - (4) The university or college seal or notarized signature of the university or college official providing such letter.

The applicant is solely responsible for authorizing the college or university to send an official transcript to the board within ninety days of licensure or when it is available.

*** DRAFT – NOT FOR FILING ***

4753-3-05 **Student clinical experience requirements [for applicants in audiology applying for licensure with at least a master's degree or equivalent obtained prior to January 1, 2006 and for speech-language pathologists.](#)**

(A) Definitions

- (1) For purposes of division (C) of section 4753.06 of the Revised Code, supervised clinical experience of a student or intern means those clock hours of clinical experience obtained in direct contact with persons served through a college or university accredited by a regional or national accrediting organization recognized by the board, in a cooperating program of said college or university, or in another program approved by the board.
- (2) "Clock hour" means a time increment of sixty minutes.
- (3) "Evaluation" means screening, assessment and diagnosis of hearing disorders and language and speech disorders (articulation, fluency, voice and dysphagia) occurring before initiation of a treatment program.
 - (a) Clock hours devoted to counseling associated with the evaluation/diagnostic process may be counted.
 - (b) Clock hours spent in formal reevaluation may be applied to this category.
 - (c) Periodic assessments during treatment may not be considered as evaluation but may be applied to the treatment category.
 - (d) The majority of the evaluation hours in each category must be in areas other than screening activities.
- (4) "Treatment for language and speech disorders" (articulation, fluency, voice, and dysphagia) means clinical management, including direct and indirect services, progress in monitoring activities, and counseling. Clock hours devoted to counseling associated with the treatment process may be counted in this category.
- (5) "Treatment for hearing disorders" means clinical management and counseling, including auditory training, speech-reading, and speech and language services for those with hearing impairment.
- (6) "Direct supervision" means that the supervisor provides guidance and direction to the student based upon on site observation of the student while in the same room or through an observation window or observation by video simulcast or closed-circuit television.

*** DRAFT – NOT FOR FILING ***

- (7) "Indirect supervision" means that the supervisor providing guidance and direction to the student is on site during the majority of the student clinical experience.
- (B) To meet requirements for licensure, the student clinical experience must meet [the current accreditation standards of the American Speech-Language-Hearing Association or the American Board of Audiology](#) . [Applicants who have received their graduate degree from a college or university not accredited by the American Speech-Language-Hearing Association or the American Board of Audiology must meet](#) all of the following criteria:
- (1) The documentation establishes that the applicant obtained three hundred seventy-five hours of supervised clinical practicum, of which twenty-five clock hours shall be clinical observation prior to beginning initial clinical practicum and three hundred fifty clock hours shall be clinical practicum. Two hundred fifty of the three hundred seventy-five clock hours shall be at the graduate level in the area in which licensure is sought.
- (2) The documentation establishes that the following minimum requirements are met in the applicable area:
- (a) In the area of speech-language pathology:
- (i) Twenty clock hours shall be in each of the following eight categories: evaluation of speech disorders in children; evaluation of speech disorders in adults; evaluation of language disorders in children; evaluation of language disorders in adults; treatment of speech disorders in children; treatment of speech disorders in adults; treatment of language disorders in children; and treatment of language disorders in adults;
- (ii) Twenty clock hours shall be in audiology and may include evaluation/screening and/or habilitation/rehabilitation;
- (iii) Fifty clock hours shall be in each of three types of clinical settings.
- (b) In the area of audiology:
- (i) At least fifty clock hours shall be in each of three types of clinical settings. The student must have experience in the evaluation and treatment of children and adults and with a variety of types and severity of disorders of hearing, speech, and language, and with the selection and use of amplification and assistive devices;
- (ii) At least eighty clock hours shall be in each of the following categories, with a minimum of ten hours in each category: selection and use of

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amplification and assistive devices for children, and selection and use of amplification and assistive devices for adults;

(iii) At least twenty clock hours shall be in the treatment of hearing disorders in children and adults;

(iv) Twenty clock hours shall be in speech-language pathology unrelated to hearing impairment and may include evaluation/screening and/or treatment.

(3) The documentation establishes that the supervision of the experience was in compliance with all of the supervision criteria set forth in paragraph (C) of this rule.

(C) Student clinical experience supervision

(1) The documentation establishes that the student clinical experience supervision was a combination of direct and indirect supervision, as follows:

(a) At least ninety-five clock hours shall be directly supervised.

(b) Two hundred eighty clock hours shall be at least indirectly supervised.

(2) Supervisor observation of student clinical experience clock hours took place on site or by closed-circuit television. It is recommended that evaluation of student performance include activities such as conferences, audio and video recordings, written evaluations, rating instruments, and inspection of lesson plans and written reports.

(a) The supervisor must directly observe at least twenty-five percent of the student's contact time with each person served.

(b) The supervisor must directly observe at least fifty percent of the student's time in each diagnostic evaluation, including screening and identification.

(D) Verification of student clinical experience shall be submitted directly from the college or university on forms prescribed by the board.

(1) The verification must bear the notarized signature of the department head or applicant's clinical supervisor. The board may at its discretion require additional verification of student clinical experience.

(2) It is the applicant's responsibility to ensure that the college or university is authorized to send student clinical experience records.

*** DRAFT – NOT FOR FILING ***

- (E) The student clinical experience requirements set forth in paragraphs (B) and (C) of this rule shall be deemed to be met when the applicant presents proof that he/she was awarded a master's degree or higher in the area in which licensure is sought from a college or university program in speech-language pathology and/or audiology accredited by a regional or national specialized accrediting organization in speech-language pathology or audiology recognized by the "United States Department of Education" and "Council for Higher Education Accreditation," One Dupont Circle Northwest, Suite 50, Washington, D.C. 20036-1135, or its predecessors or successors, at the time the master's degree was awarded.

- (F) The student clinical experience requirements set forth in paragraphs (B) and (C) of this rule shall be deemed to be met when the applicant holds current certification in audiology that is in good standing and received from the "American Board of Audiology" and both of the following criteria are met:
 - (1) Verification of certification is received from the "American Board of Audiology."
 - (2) The student clinical experience and professional experience upon which certification was granted, if completed in Ohio, were done in conformance with Ohio law and rules.

- (G) The student clinical experience requirements sets forth in paragraphs (B) and (C) of this rule shall be deemed to be met when the applicant holds a current certificate of clinical competence in the area in which licensure is sought that is in good standing and received from the "American Speech-Language-Hearing Association" and both of the following criteria are met:
 - (1) Verification of certification is received from the "American Speech-Language-Hearing Association."
 - (2) The student clinical experience and professional experience upon which certification was granted, if completed in Ohio, were done in conformance with Ohio law and rules.

- (H) Academic credit for student clinical experience may not be used to satisfy specific course work minimum requirements. A maximum of six semester clock hours for student clinical experience may be applied to the thirty-six semester clock hours of professional course work.

- (I) Students shall not receive reimbursement or compensation for services provided during the student clinical experience, unless the board finds that extraordinary circumstances render reimbursement or compensation appropriate.

- (J) Student clinical experience must have been under the supervision of a person who meets one of the following criteria:

***** DRAFT – NOT FOR FILING *****

- (1) Student clinical experience obtained in the state of Ohio shall have been under the supervision of a person who during the entire student clinical experience was licensed under Chapter 4753. of the Revised Code in the area in which the applicant seeks licensure.
- (2) Student clinical experience obtained outside of Ohio shall have been under the supervision of a person who during the entire student clinical experience was licensed in the area in which the applicant seeks licensure in the state in which the student clinical experience was performed.
- (3) Student clinical experience obtained in a state that does not have licensure shall have been under the supervision of a person who during the entire student clinical experience was certified by the "American Speech-Language-Hearing Association" or the "American Board of Audiology," in the area in which the applicant seeks licensure.

***** DRAFT – NOT FOR FILING *****

4753-3-06 **Examination requirements.**

An applicant shall have satisfied the examination requirements of the board if he/she has achieved a score of six hundred or above on the "National Examination in Speech Pathology" or the "National Examination in Audiology" or "The Praxis Series II Test in Speech-Language Pathology" or "The Praxis Series II Test in Audiology" administered by the "Educational Testing Service" of Princeton, New Jersey, in the area in which licensure is sought or any other such practical and oral or written examinations as the board shall determine as necessary. Verification of the test score shall be submitted to the board by the "Educational Testing Service." Scores which cannot be reported by the "Educational Testing Service" may be submitted by another source with the approval of the board. It is the applicant's responsibility to ensure that the "Educational Testing Service" is authorized to report the test score.

*** DRAFT – NOT FOR FILING ***

4753-3-07 **Professional experience requirements for licensure of applicants for audiology applying for licensure with at least a master's degree or equivalent obtained prior to January 1, 2006 and for speech-language pathology.**

(A) The purpose of the professional experience is to provide the opportunity for successful transition in status from student to independent professional by:

- (1) Developing commitment to quality speech, language, and hearing services;
- (2) Integrating and applying knowledge and skills gained in academic training;
- (3) Refining clinical skills;
- (4) Evaluating the conditional licensee's own professional skills; and
- (5) Developing a commitment to continuing education and professional growth.

(B) Conditional license for professional experience

- (1) The purpose of a conditional license is to permit an individual to practice speech-language pathology or audiology while completing the supervised professional experience as required by division (D) of section 4753.06 of the Revised Code.
- (2) A person performing speech-language pathology or audiology services in pursuit of the required supervised professional experience, as prescribed in section 4753.06 of the Revised Code, and as described in this rule must hold conditional licensure as prescribed in section 4753.071 of the Revised Code.
- (3) A person holding a conditional license is authorized to practice speech-language pathology or audiology while working under an approved professional experience plan and the supervision of a person fully licensed by the board in the area in which licensure is sought and in accordance with Chapter 4753. of the Revised Code.
 - (a) An applicant shall not practice speech-language pathology or audiology until the conditional license has been granted.
 - (b) When an applicant starts employment prior to receiving the conditional license, responsibilities shall be limited to orientation, observation, and review of paperwork.
 - (c) The holder of a conditional license shall not provide clinical supervision for student clinical experience, professional experience, or any other intern, aide or practitioner.

*** DRAFT – NOT FOR FILING ***

~~(4) Any violation of an order of the board, Chapter 4753, of the Revised Code or agency level 4753 of the Administrative Code, shall be grounds for denial of an application for a conditional license.~~

~~(5)~~(4) Requirements for conditional licensure

- (a) A conditional license shall be granted only to individuals who have made application for licensure pursuant to section 4753.06 of the Revised Code.
- (b) No person shall be eligible for a conditional license unless he/she has met the following requirements of section 4753.06 of the Revised Code except for the supervised professional experience:
 - (i) Educational requirements;
 - (ii) Clinical experience requirements;
 - (iii) Received an examination score of six hundred or above on the "National Examination in Speech Pathology" or the "National Examination in Audiology" or the "Praxis Series II Test in Speech-Language Pathology" or the "Praxis Series II Test in Audiology" administered by the "Educational Testing Service" of Princeton, New Jersey;
 - (iv) Submitted an application for conditional license to the board including a plan for the content of the supervised professional experience on a form prescribed by the board;
 - (v) Obtained appropriate signatures; and
 - (vi) Paid to the board the appropriate application and licensure fees.

~~(6)~~(5) Expiration of the conditional license

- (a) The length of the conditional license is twenty months.
- (b) When the supervised professional experience has been successfully completed prior to the expiration of the conditional license:
 - (i) Applicant may continue to work under the conditional license and according to the plan.
 - (ii) The "Supervised Professional Experience Report" and "Supervision Contacts Log" shall be received within at least five business days of the next executive director review. The executive director will review

*** DRAFT – NOT FOR FILING ***

licensees for approval on the first and fifteenth of each month. If the first or the fifteenth is a day the office is not open for business because of a holiday or weekend, the review will occur on the next day the office is open for business.

- (c) When the supervised professional experience is not completed and the applicant applies for a second conditional license prior to the expiration of the conditional license the applicant may continue to work according to the approved plan.
- (d) When the supervised professional experience is not completed and the applicant has not applied for a second conditional license prior to the expiration of the conditional license:
 - (i) The applicant shall discontinue providing services.
 - (ii) The applicant shall apply for a second conditional license.
 - (iii) When the second conditional license is granted the applicant may resume working according to the approved plan.

~~(7)~~(6) Second conditional license.

- (a) Upon expiration of a conditional license, the board may in its discretion grant a second conditional license for good cause shown and fix the term of the second conditional license for eighteen months.
- (b) The board shall determine whether good cause for a second conditional license has been shown by evaluation of both of the following factors:
 - (i) The progress made toward completing the "Supervised Professional Experience", including the applicant's efforts to obtain employment, if applicable, and
 - (ii) The length of time needed to complete the supervised professional experience.
- (c) A second conditional license shall be granted only to individuals who have filed an application and paid the appropriate fee.

(C) Requirements for professional experience

(1) Definition of professional experience

- (a) Pursuant to section 4753.06 of the Revised Code, hours of professional experience are defined as those hours of contact with persons served,

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consultations, record keeping, clinical conferences, in-service training, or any other relevant duties in a paid professional setting in which bona fide clinical work has been accomplished in the major professional area, speech-language pathology or audiology, in which licensure is being sought.

- (b) Full time experience consists of a minimum of thirty hours of professional experience per week, for a minimum of thirty-six weeks. Part time experience consists of a minimum of fifteen hours of professional experience per week for a minimum of seventy-two weeks.
- (c) Time spent in academic teaching, research or management that does not deal directly with treatment programs of person(s) served will not be counted a professional experience for licensure.

(2) Work settings for professional experience

- (a) Professional experience for licensure may be obtained in a variety of diverse employment settings providing services for persons with speech, language, and hearing disorders.
- (b) An employment setting shall be appropriate for the professional experience of the particular program or program component is designed to evaluate, habilitate or rehabilitate the communicative functioning of persons with speech, language, hearing, and vestibular disorders.
- (c) Evaluation and treatment programs including, but not limited to, schools, clinics, hospitals, community agencies, home health care, nursing homes, and private practice are appropriate professional experience settings.
- (d) Programs which provide primarily screening services shall not be accepted.

(3) Professional experience performed in Ohio

- (a) Professional experience accomplished in the state of Ohio shall be acceptable for licensure only when done under the supervision of an individual, who during the professional experience, was licensed by the board of speech-language pathology and audiology in the area in which licensure is sought and shall have twenty-four months of full time clinical experience or the equivalent of qualifications set forth in paragraph (D) of this rule.
- (b) Applicants for Ohio licensure who received the "American Speech and Hearing Association" certificate of clinical competence or licensure in another state based upon professional experience accomplished in Ohio, in violation of Ohio law and rules, shall not be licensed by waiver.

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- (c) Professional experience accomplished in the state of Ohio prior to September 1, 1979 shall be acceptable for licensure only when done under the supervision of a person who during the experience met the academic, clinical practicum, experience, and examination requirements as described in rule 4753-3-04 of the Administrative Code, or the equivalent as determined by the board; or who during the professional experience was licensed in any state in the professional area in which licensure is sought; or who during the professional experience was certified by the "American Speech and Hearing Association" in the professional area in which licensure is sought.

- (4) Professional experience performed outside of Ohio
 - (a) Professional experience, when completed outside the state of Ohio, shall be acceptable for licensure only when done under the supervision of a person who during the professional experience, was licensed in the state in the professional area in which licensure is sought; or in states without licensure who during the professional experience was certified by the "American Board of Audiology" or the "American Speech and Hearing Association" in the professional area in which licensure is sought.

- (5) Requirements for the professional experience shall be deemed to be met when the applicant is certified by the "American Board of Audiology" except when the professional experience obtained in Ohio was in violation of Ohio laws and rules. This does not limit or prohibit licensure by waiver based on certification by "The American Speech and Hearing Association" as listed in paragraph (C)(3)(b) of this rule.

- (6) The supervised professional experience requirements are deemed to be met when an applicant has graduated from a four year post graduate doctor of audiology program from a college or university accredited as set forth in rule 4753-3-04 of the Administrative Code and the university chairperson provides a letter to the board attesting that the following requirements have been met;
 - (a) The fourth year of the program is predominately a clinical externship.

 - (b) Prior to beginning the fourth year externship the applicant shall have met the following requirements of section 4753.06 of the Revised Code except for the supervised professional experience:
 - (i) Educational requirements.

 - (ii) Clinical experience requirements.

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(iii) Received an examination score of six hundred or above on the "Praxis Series II Test in Audiology" administered by the "Educational Testing Service" of Princeton, New Jersey.

(c) The fourth year externship shall:

(i) Meet the supervision requirements of rule 4753-3-07 of the Administrative Code,

(ii) Full time experience consists of a minimum of thirty hours of professional experience per week, for a minimum of thirty-six weeks. Part time experience consists of a minimum of fifteen hours of professional experience, for a minimum of seventy-two weeks.

(D) Supervisor qualifications and responsibilities

(1) In order to supervise professional experience, a supervisor shall:

(a) Hold a current Ohio license in the same area as the conditional license applicant or licensee.

(b) Have twenty-four months of full time clinical experience or the equivalent within the last sixty months under a valid license or certification by the "American Board of Audiology" or the "American-Speech and Hearing Association."

(2) The supervisor shall agree to supervise the practice of speech-language pathology or audiology experience of the applicant according to a plan approved by the board.

(3) The supervisor of professional experience shall complete the supervisor's portion of the "Supervised Professional Experience Plan," "Supervised Professional Experience Report," and "Supervision Contacts Log" as required by this rule.

(4) The supervisor shall retain copies of all professional experience supervision documents.

(5) No supervisor of persons completing professional experience may concurrently supervise more than four supervisees unless approved by the board.

(6) The board, for purposes of licensure, recognizes only professional experience that has met the supervision requirements of this rule.

(E) Professional experience plan

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- (1) Pursuant to section 4753.071 of the Revised Code, an applicant for a conditional license shall include a plan for professional experience.
- (2) Granting of the conditional license shall be based upon approval of the plan for professional experience.
- (3) A completed plan shall be submitted on a form prescribed by the board.
- (4) The board may approve a plan indicating that an applicant is seeking employment.
 - (a) Time spent seeking employment shall not be credited toward professional experience requirements for licensure.
 - (b) Upon employment, a new plan shall be submitted within thirty days.
 - (c) A new plan shall be submitted for changes in employment and supervision within thirty days of a change. When a plan is not submitted within thirty days, it will be considered late and the experience shall be adjusted to extend the plan by the number of days the plan was late.
 - (d) Failure to submit the plan or failure to practice according to the plan shall be considered practice without a license and, therefore, cause for suspension, probation, or revocation of the conditional license according to Chapter 119. of the Revised Code.

(F) Supervision of professional experience

- (1) Professional experience shall entail the direct involvement of the supervisor in any and all ways that will permit the supervisor to monitor, improve, provide feedback, and evaluate the applicant's performance in professional employment.
- (2) Mentoring paradigm
 - (a) The supervisor's role throughout the professional experience year shall be viewed as that of a mentor. This mentoring paradigm shall include a schedule of regular monthly communication around mutually developed goals including professional, educational and personal objectives.
 - (b) Mentoring activities shall include, but are not limited to:
 - (i) Orienting the conditional licensee to employment site and professional job responsibilities;
 - (ii) Providing support to the conditional licensee;

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- (iii) Being available to answer questions; and
- (iv) Providing feedback/evaluation to the conditional licensee and about clinical competencies.

(3) Supervision requirements

(a) Supervision of the professional experience shall include:

- (i) Eighteen on-site conferences with the conditional licensee and the supervisor of the professional experience with at least six of the on-site conferences during each third of the professional experience.
- (ii) A monthly evaluation conference which may be in conjunction with one of the on-site conferences.

(b) Supervisory activities may include, but are not limited to:

- (i) Conferencing with the applicant concerning clinical treatment strategies;
- (ii) Monitoring changes in communication behaviors of person(s) served;
- (iii) Evaluating the applicant's clinical records, including: diagnostic reports, treatment records, correspondence, plans of treatment; and summaries of clinical conferences.
- (iv) Monitoring the applicant's participation in case conferences;
- (v) Evaluating the applicant's performance by professional colleagues and employers;
- (vi) Evaluating the applicant's work by person(s) served and their parents; and
- (vii) Monitoring the applicant's contributions to professional meetings and publications, as well as participation in professional growth opportunities.

(4) Conferences

- (a) The supervisor shall share and discuss their evaluation with the conditional licensee in a monthly supervision conference.
- (b) The supervision conference shall include:

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- (i) Providing detailed feedback to the conditional licensee regarding their clinical performance; and
 - (ii) Summarizing for the conditional licensee a list of clinical strengths and goals on the "Supervision Contacts Log".
 - (c) On completion of the professional experience, a conference shall be held to provide the conditional licensee the opportunity to read and discuss with the supervisor the final "Supervised Professional Experience Report."
 - (d) When the conditional licensee has not made satisfactory progress, the supervisor shall counsel the applicant verbally and document on the "Supervision Contacts Log".
- (G) Supervised professional experience report and supervision contacts log
- (1) Upon full or partial completion of professional experience, the conditional licensee shall submit a "Supervised Professional Experience Report" and a "Supervision Contacts Log" of the supervisory contacts that occurred during the experience.
 - (2) After submission of the "Supervised Professional Experience Report" and "Supervision Contacts Log," the conditional licensee shall abide by the requirements for conditional licensure until full licensure is granted.
 - (3) Completion of professional experience
 - (a) The "Supervised Professional Experience Report" and "Supervision Contacts Log" are due upon completion of professional experience.
 - (b) The "Supervised Professional Experience Report" and "Supervision Contacts Log" shall be submitted on forms prescribed by the board.
 - (c) If no report and log are submitted, the conditional licensee's application remains open until expiration of the conditional license.
 - (d) If the conditional licensee discontinues pursuit of Ohio licensure, written notice by either the supervisor or the conditional licensee may be submitted in lieu of the report and log.
 - (e) Unsuccessful completion of the professional experience shall result in extending the supervised professional experience or repeating the entire supervised professional experience.
 - (4) Partial completion of professional experience

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- (a) Interim completion of professional experience means supervised professional experience that is completed prior to meeting requirements as set forth in paragraph (C)(1)(b) of this rule.
 - (b) The "Supervised Professional Experience Report" and "Supervision Contacts Log" are due within thirty days of completion of the partial professional experience.
 - (c) If no "Supervised Professional Experience Report" and "Supervision Contacts Log" are submitted, the conditional licensee's application remains open until expiration of the conditional license.
 - (d) If the conditional licensee discontinues pursuit of Ohio licensure, written notice by either the supervisor or the conditional licensee may be submitted in lieu of the report and log.
- (5) Non-approval of the supervised professional experience.
- (a) When the supervisor does not approve the professional experience, the supervisor shall:
 - (i) Indicate the disapproval on the "Supervision Contacts Log" and sign the "Supervised Professional Experience Report".
 - (ii) Submit the "Supervision Contacts Log" and the "Supervised Professional Experience Report".
 - (b) The applicant may request that the board accept this experience toward the requirements for licensure by submitting a letter of explanation and supporting documentation.
 - (c) The board may share this information with the applicant and supervisor.
 - (d) The board shall review the "Supervised Professional Experience Report", documentation, and the "Supervision Contacts Log" to determine if approval of the supervised professional experience shall be granted.
 - (e) When the board rejects the experience, the applicant shall be offered the opportunity for a hearing according to Chapter 119. of the Revised Code.

(H) Reimbursement for services

- (1) A person holding a conditional license may perform services for which reimbursement will be sought under the medicare program established under Title XVIII of the "Social Security Act" but all requests for reimbursement for

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such services shall be submitted by the person who supervises the person performing the services.

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4753-3-09 **Reinstatement of license.**

In evaluating an application for reinstatement of a license previously revoked or suspended, or reconsideration of denial of license, the Board shall consider the following:

- (A) The nature and severity of the acts which resulted in denial, revocation or suspension of license;
- (B) The time elapsed since the commission of the acts;
- (C) Possible additional violations occurring after the denial, revocation or suspension;
- (D) Compliance with previous orders of the Board; and,
- (E) ~~Any~~ evidence of rehabilitation which the applicant may submit to the Board.

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4753-3-10 **Exempt practice; renewal.**

- (A) All persons who are duly licensed by this board shall be considered to be actively practicing the profession of speech-language pathology or audiology, regardless of work setting. Persons who are exempted from licensure, pursuant to section 4753.12 of the Revised Code, are not required to be licensed by this board. However, if such persons voluntarily choose to be licensed by this board, such license shall be controlled by the rules of this board.

All persons licensed by this board, including those licensed by waiver pursuant to divisions (D) and (E) of section 4753.08 of the Revised Code, shall meet all requirements for license renewal in accordance with agency-level 4753 of the Administrative Code.

- (B) Failure to biennially renew a license shall cause such licensure to expire as of the second December thirty-first of the biennium such failure occurred. Pursuant to section 4753.09 of the Revised Code, the board may renew the license of a person who applies to renew the license within one year after such expiration. If the application for renewal is made after one year, the person shall apply for licensure as provided in section 4753.06 or division (B) or (C) of section 4753.08 of the Revised Code. Any practice after expiration of the license is a violation of section 4753.02 of the Revised Code and shall be reported to the employer of the applicant when applicable.

(C) License renewal:

- (1) Not less than thirty days prior to the deadline for licensure renewal all licensees shall be notified by the board office of the requirement to renew the license and submit the necessary fee and renewal application attesting to completion of continuing education hours.
- (2) All licensees ~~shall sign~~ and submit to the board a renewal application attesting to completion of the required continuing education hours at the time of license renewal.
- (3) All legal requirements must be fulfilled before the license is renewed. Those requirements are the completion of the required hours of continuing education, ~~as verified by the licensee's signature on the renewal application~~ attesting that the licensee has completed all required hours of continuing education, completion of the application, and payment of all fees, including any late fees incurred.
- (4) Licensees who submit the renewal application, attesting to continuing education or fee after the deadline shall be assigned a late fee.

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- (5) Continuing education hours submitted for late renewal shall not be used again for the next renewal.
- (6) Upon completion of the requirements for renewal of the license, all licensees shall receive the renewal certificate.

(D) Late renewal

(1) Failure to complete legal requirements for renewal after December thirty-first of the renewal year shall result in the expiration of the license after that date. Renewal applications must be postmarked or electronically registered no later than September thirtieth of the renewal year. A renewal application received after October first of the renewal year shall be a late renewal and incur the late fee specified in rule 4753-5-01 of the Administrative Code.

~~(1) For renewal in 2004, failure to complete the legal requirements for renewal prior to December thirty first of the renewal year shall result in the expiration of the license as of December thirty first of that year.~~

~~(2) Starting with renewal in 2006, renewal applications must be postmarked no later than September thirtieth of the renewal year. A renewal application postmarked on or after October first of the renewal year shall be a late renewal and incur the late fee specified in rule 4753 5 01 of the Administrative Code.~~

(E) Expired license:

- (1) A speech-language pathologist or audiologist may apply for renewal of an expired license if the license has been expired for one year or less. The board shall issue the license if the speech-language pathologist or audiologist:
 - (a) Submits to the board a completed application;
 - (b) Submits to the board an attestation of completing continuing education hours required for the two-year period immediately preceding the year of the application for renewal; and
 - (c) Pays to the board the renewal and late fees set by the board.
- (2) The board shall not renew the license of a speech-language pathologist or audiologist who fails to apply for renewal of the license within one year after the license expires. A speech-language pathologist or audiologist whose license has been expired for more than one year may become licensed by:
 - (a) Submitting to the board a completed application and documentation of meeting the current requirements for obtaining a new license;

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- (b) Submitting to the board documentation of completion of continuing education hours required of a licensee during the period prior to expiration of the license and for each renewal period of expiration, or forty hours within the two years prior to the application or as approved by the board; and
- (c) Paying to the board the application fee set by the board.

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4753-5-01 **Speech-language pathologist or audiologist; fee for initial license; fee for renewal of license; late renewal fee.**

- (A) Pursuant to division (A) of section 4753.11 of the Revised Code the application fee for a speech-language pathologist or audiologist license shall be two hundred dollars to be paid at the time the application is filed with the board. The fee for the conditional license shall be ten dollars. The initial license shall be valid for the biennium in which it is issued. Applications made pursuant to divisions (D) and (E) of section 4753.08 of the Revised Code shall not pay an application fee.
- (B) When an initial license is issued less than one hundred days before ~~the date on which it will expire,~~ September thirtieth of the renewal year, the board shall waive the renewal fee and the license shall be considered to have been issued in the next renewal cycle for purposes of the continuing education requirements of rule 4753-4-01 of the Administrative Code.
- (C) Pursuant to division (B) of section 4753.11 of the Revised Code:
- (1) The biennial renewal licensing fee shall be one hundred ~~fifty~~ dollars for a holder of a speech-language pathology or audiology license. The biennial renewal licensing fee shall be one hundred fifty dollars for individuals who hold both a speech-language pathology and an audiology license, ~~including licenses granted pursuant to divisions (D) and (E) of section 4753.08 of the Revised Code.~~
 - (2) A license shall be renewed on or before the thirtieth day of September ~~thirty-first day of December~~ of every other year. The prescribed fee shall be submitted to ~~the state treasurer or~~ the board with the application for renewal provided by the board.
- (D) The board of speech-language pathology and audiology pursuant to section 4753.09 and Chapter 4745. of the Revised Code shall issue a renewal card for each license and official duplicate issued by the board to each licensee upon payment of the biennial renewal licensing fee. Each licensee shall display official evidence of renewal with each license or official duplicate in a conspicuous place where the licensee practices speech-language pathology or audiology or both. Any practice after expiration of the license is a violation of section 4753.02 of the Revised Code and shall be reported to the employer of the licensee when applicable.
- (E) The late fee for license renewal after September thirtieth of the renewal year ~~the December thirty-first expiration~~ shall be one hundred fifty dollars.

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4753-5-04 **Fee for duplicate license certificate.**

The fee for each duplicate license certificate shall be ten dollars. The fee shall be submitted to the board with a written request.

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4753-6-01 **Screening.**

Screening is for the purpose of initial identification of persons who may have hearing, speech and/or language disorders. Verbal or written indications or descriptive statements about the results of a screening shall be limited to whether the individual passed or failed the screening procedure(s). The report of the findings shall state that the findings should not be construed as a complete evaluation, nor shall it offer remedial steps other than appropriate referral for complete examination by an audiologist, speech-language pathologist, or physician, as applicable. Criteria for failure shall be developed in consultation with an individual licensed in the area of the procedure pursuant to Chapter 4753. or Chapter 4731. of the Revised Code.

(A) "Audiologic screening" means the performance of audiologic testing procedures for the purpose of initial identification of persons who may have hearing disorders and includes, but is not limited to, the following procedures:

(1) Pure tone air conduction screening, tympanometry screening, and acoustic reflex screening.

(a) "Pure tone air conduction screening" means a pass/fail procedure performed at 20 dB HL at the frequencies of 1000, 2000, and 4000 Hz, to prevent or detect early auditory impairment, disorder, and disability and to identify individuals who require further audiologic assessment or treatment or referral for other professional services.

(b) "Tympanometry screening" means a pass/fail dynamic measure of middle ear compliance of no less than .2ml of H₂O, within the pressure range of +150 to -150 daPa.

(c) "Acoustic reflex screening" means a test measuring the presence or absence of acoustic reflexes when assessed with stimuli of 1000 and 2000 Hz at 100 dB at the point of maximum middle ear compliance.

(d) Pure tone air conduction screening, tympanometry screening, and acoustic reflex screening must be performed by one of the following persons:

(i) An audiologist licensed under section 4753.07 of the Revised Code;

(ii) An audiology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed audiologist, in accordance with the supervision plan on file in the board office;

(iii) A speech-language pathologist licensed under section 4753.07 of the Revised Code when performing the screening limited to a pass/fail

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determination ~~for the identification of individuals with other disorders of communication;~~

- (iv) A speech-language pathology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed speech-language pathologist in accordance with the supervision plan on file in the board office.
- (2) "Newborn and infant hearing screening" means the use of audiological procedures to identify newborn and infants from birth through twenty-three months with hearing impairment, as defined in rule pursuant to division (A) of section 3701.504 [3701.50.4] of the Revised Code and section 3701.508 of the Revised Code.
- (a) Newborn and infant hearing screening includes the use of audiological procedures including, but not limited to, auditory brainstem response and/or otoacoustic emissions.
 - (b) Newborn and infant hearing screening must be performed in compliance with rules promulgated by the "Public Health Council" and administered by the Ohio department of health.
 - (c) Section 3701.505 of the Revised Code authorizes speech-language pathologists and speech-language pathology aides to perform the screenings without holding a license as an audiology aide.
- (3) "School hearing screening" means a pure tone air conduction screening, tympanometry screening, or acoustic reflex screening conducted for the purpose of hearing conservation programs for children.
- (a) School hearing screenings must be performed by one of the following persons:
 - (i) An audiologist licensed under section 4753.07 of the Revised Code;
 - (ii) An audiology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed audiologist, in accordance with the supervision plan on file in the board office;
 - (iii) A speech-language pathologist licensed under section 4753.07 of the Revised Code when performing the screening limited to a pass/fail determination for the identification of individuals with other disorders of communication;
 - (iv) A speech-language pathology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed

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speech-language pathologist in accordance with the supervision plan on file in the board office.

- (b) "Hearing conservation programs for children" means the programs and activities of the Ohio department of health pursuant to sections 3313.50, 3313.673, 3313.68, 3313.69, 3313.73, and 3709.22 of the Revised Code.
- (4) "Physiologic screening test" means an objective screening measure of a body process involved in communication.
 - (a) Physiologic screening tests include, but are not limited to, automated auditory evoked response screening and otoacoustic emission screening.
 - (b) Physiologic screening tests must be performed by one of the following persons:
 - (i) An audiologist licensed under section 4753.07 of the Revised Code;
 - (ii) An audiology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed audiologist in accordance with the supervision plan on file in the board office;
 - (iii) A person performing newborn and infant screening in accordance with the rules promulgated by the "Public Health Council" and administered by the Ohio department of health.
- (B) "Speech-language screening" means the use of procedures for the purpose of initial identification of persons who may have speech or language disorders.
 - (1) Speech-language screening includes, but is not limited to the following procedures:
 - (a) "Speech screening" means a pass/fail procedure to identify individuals who require further speech (articulation, voice, resonance, and fluency) or orofacial myofunctional assessment or referral for other professional services.
 - (b) "Language screening" means a pass/fail procedure to identify individuals who require further language assessment or treatment or referral for other professional services.
 - (2) Speech or language screening must be performed by one of the following persons:

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- (a) A speech-language pathologist licensed under section 4753.07 of the Revised Code;
 - (b) A speech-language pathology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed speech-language pathologist in accordance with the supervision plan on file in the board office;
 - (c) An audiologist licensed under section 4753.07 of the Revised Code when performing speech or language screening limited to a pass/fail determination, ~~for the purpose of identifying individuals with disorders of communication.~~
- (C) "Occupational hearing test" means a diagnostic test of auditory sensitivity performed by the use of air conduction threshold testing that is conducted for the purpose of environmental hearing conservation/prevention programs, pursuant to regulations promulgated by the United States department of labor, occupational safety and health administration.
- (1) Air conduction threshold testing is not a hearing screening.
 - (2) "Environmental hearing conservation/prevention programs" as used in this rule means programs designed to reduce the effect of noise exposure in the workplace on the hearing of employees or on the community, pursuant to regulations promulgated by the United States department of labor, occupational safety and health administration.
 - (3) Occupational hearing tests must be performed by one of the following persons:
 - (a) An audiologist licensed under section 4753.07 of the Revised Code;
 - (b) An audiology aide licensed under section 4753.072 of the Revised Code when working under the supervision of a licensed audiologist in accordance with the supervision plan on file in the board office, under both of the following conditions, unless waived by the board for good cause shown:
 - (i) The aide is trained to perform occupational hearing tests and holds current certification by the "Council for Accreditation in Occupational Hearing Conservation" ("CAOHC");
 - (ii) The testing is conducted by automatic pure tone audiometry.
- (D) All of the following conditions must be met whenever an aide licensed under section 4753.072 of the Revised Code performs a pure tone air conduction screening, physiologic screening, speech screening, or language screening:

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- (1) The criteria for failure of the screening shall be established by the supervising licensed audiologist or speech-language pathologist.
 - (2) The aide's verbal or written indication or descriptive statements about the screening results shall be limited to a statement of whether the client passed or failed the procedure.
 - (3) Nothing in the aide's report of the findings shall be construed as a complete evaluation.
 - (4) The aide's report shall not suggest remedial steps other than a referral for a complete examination by a licensed professional.
- (E) This rule shall not be construed to restrict the following persons from conducting screening in the practice of their profession in compliance with the laws and rules governing their profession:
- (1) A hearing aid dealer or fitter licensed under Chapter 4747. of the Revised Code when engaging in the measurement and testing of a person's hearing for the purpose of selecting, adapting, and selling a hearing aid to the person.
 - (2) A physician licensed under Chapter 4731. of the Revised Code or an individual carrying out any properly delegated responsibilities within the normal practice of medicine and surgery or osteopathic medicine and surgery.
 - (3) A nurse registered or licensed under Chapter 4723. of the Revised Code when performing those acts and utilizing those procedures that are within the scope of practice of professional or practical nursing as defined in Chapter 4723. of the Revised Code and the ethics of the nursing profession, provided the nurse does not claim to the public to be a speech-language pathologist or audiologist, and provided that the nurse has been properly trained to perform screening.

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4753-7-01 **Licensure of aides.**

- (A) A license for a speech-language pathology aide or an audiology aide shall be issued only to a person working under an application approved by the board.
- (B) A "speech-language pathology aide" is a person who, after appropriate training, performs tasks that are planned, prescribed, delegated, directed, and supervised by a licensed speech-language pathologist, according to an application approved by the board.
- (C) An "audiology aide" is a person who after appropriate training, performs tasks that are planned, prescribed, delegated, directed, and supervised by a licensed audiologist, according to an application approved by the board.
- (D) The licensed speech-language pathologist or audiologist who signs the application for the aide shall supervise that particular aide. The aide may provide services only under the supervision of the speech-language pathology or audiology supervisor of record for that applicant. If more than one supervisor is to be involved with the aide, a plan shall be submitted by each supervisor.
- (E) Qualifications for aides shall be:
 - (1) A high school degree or equivalent;
 - (2) Successful completion of training requirements as outlined in the approved application.
- (F) Training for aides shall be well-defined and specific to the approved application and the assigned tasks. The licensed supervisor shall ensure that the scope and intensity of training encompass all of the activities assigned to the aide. Training ~~Trainng~~ shall be competency based and provided through a variety of formal and informal instructional methods. Licensed supervisors shall provide aides with information on roles, functions, and any related laws. Continuing education opportunities shall be provided to ensure that practices are current and that skills are maintained. The licensed supervisor shall maintain written documentation of training activities.
- (G) The aide application shall be filed with the board for approval and the application shall include, but need not be limited to:
 - (1) The location (name and address) where the aide will work;
 - (2) The business name and address of the employer of the aide;
 - (3) A description of the activities to be performed by the aide;

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- (4) A description of the training the aide has received that will enable the aide to perform the planned activities;
 - (5) A description of the direct supervision that the aide will receive in the performance of the aide's duties.
 - (6) An agreement to abide by the speech-language pathology and audiology code of ethics.
- (H) Any experience obtained while acting as a speech-language pathology aide or audiology aide shall not be creditable toward the supervised clinical experience required in division (C) of section 4753.06 of the Revised Code or the required professional experience required in division (D) of section 4753.06 of the Revised Code.
- (I) The purpose of aides is to support licensed speech-language pathologists or audiologists in specified aspects of testing and recordkeeping. Aides shall not act independently and shall not:
- (1) Perform diagnostic testing;
 - (2) Interpret observations or data into diagnostic statements of clinical management strategies or procedures;
 - (3) Determine case selection;
 - (4) Initiate, modify, plan or develop therapy procedures;
 - (5) Implement therapy procedures;
 - (6) Function without direct supervision;
 - (7) Transmit clinical information, either verbally or in writing to anyone without the approval of the licensed supervisor;
 - (8) Compose clinical reports except for notes to be reviewed by the supervisor and held in the patient's/client's records;
 - (9) Refer a patient/client to other professionals or agencies;
 - (10) Refer to himself or herself either orally or in writing with a title other than "aide;"
 - (11) Sign any formal documents such as treatment plans, reimbursement forms or reports;

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- (12) Discharge a patient/client from services.
- (13) Communicate with the patient/client, family, or others regarding any aspect of patient/client status or service without the specific consent of the supervisor and in accordance with the application approved by the board;
- (14) Act for the licensed person at treatment team meetings or in any matter related to direct care of patients/clients which requires judgment or decision-making;
- (15) Provide consultation, counseling, recommendation for assessment, assessment, treatment protocols, results/outcomes, recommendations, treatment/intervention plans, or patient/client referrals on any matter regarding care of patients/clients;
- (16) Provide professional training of other staff;
- (17) Provide program review for individualized habilitation plans or other forms of care planning for patients/clients;
- (18) Supervise or manage infant hearing screening programs or occupational hearing conservation/prevention programs. The supervision and management of infant hearing screening programs and occupational hearing conservation/prevention screening programs must be performed by a licensed audiologist;
- (19) Perform any duties not prescribed in the approved license application nor work for any other speech-language pathologist or audiologist who is not specified as the supervisor on the approved license application;
- (20) Perform any duties without proper training; and

Use influence in clinical matters, when the aide is the owner of a practice/business providing services, while being supervised by an employee or consultant of their business/practice.

- (J) An aide shall be clearly identified as an aide by a badge worn during all contact with patients/clients.

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4753-7-02 **Supervision requirements; aides.**

- (A) Supervision of a speech-language pathology aide or an audiology aide shall be provided by a person who is actively licensed as a speech-language pathologist or audiologist under section 4753.06 of the Revised Code. The licensed speech-language pathologist or audiologist who signs the application for the aide shall supervise that particular aide. The aide may provide services only under the supervision of the speech-language pathologist or audiologist of record for that applicant. If more than one supervisor is to be involved with the aide, an application shall be submitted by each supervisor.
- (B) The supervisor shall be able to provide direct, comprehensive, documented and immediate supervision to the aide. "Direct supervision of an aide" shall be defined as that given by a supervisor who is either present in the room in which the services are being given, or, who is immediately available to provide assistance to the aide within that particular contact with patient/client(s) served. A licensed speech-language pathologist or audiologist may supervise no more than two aides concurrently unless specifically authorized by the board. The board shall consider the public welfare in determining authorization for supervision of additional aides.
- (C) The supervisor of an aide shall maintain the legal and ethical ~~responsibilities-~~responsibilities for all assigned activities provided by the aide; shall make all decisions relating to the diagnosis, treatment, management and future disposition of the patient/client(s) served; and shall have the responsibility for the health, safety and welfare of the patient/client(s) served by the aide. The supervisor shall be responsible for the aide's competence to perform board approved activities and adherence to the code of ethics as defined by rule 4753-9-01 of the Administrative Code.
- (D) An aide shall not begin practice until approved by the board.
- (E) The supervisor of an aide shall notify the board of any changes in the approved application required in rule 4753-7-01 of the Administrative Code as a condition of licensure, including termination of employment of either party. Failure to notify the board of a change or termination of an approved application shall be grounds for discipline in accordance with section 4753.10 (M) of the Revised Code. Supervision of an unlicensed aide shall be aiding and abetting unlicensed practice and shall be grounds for discipline in accordance with section 4753.10 (D) of the Revised Code.

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4753-9-01 **"Code of Ethics".**

(A) Preamble: Licensees shall hold tantamount the health and welfare of person(s) served.

(1) Licensees shall respect and protect the inherent worth, integrity, dignity and rights of each person served including his/her right of self determination.

(2) The relationship between the professional and the person(s) served or supervised makes it imperative that the professional is aware of the vulnerability of the person(s) served or supervised, licensees shall not:

(a) Discriminate in his/her relationships with person(s) served or supervised, colleagues, students, and members of the allied professions on the basis of race, ethnicity, gender, age, religion, national origin, sexual orientation, or disability.

(b) Engage in sexual or intimate relations the person(s) served or supervised.

(c) Harass or abuse person(s) served or supervised.

(d) Engage in the evaluation or remediation of speech, language, or hearing disorders except in a professional relationship.

(e) Participate in activities that constitute a conflict of interest.

(3) Licensees shall use reasonable precautions to protect the health and welfare of person(s) served in the delivery of professional services and in research.

(4) Licensees shall be proficient in areas of treatment, objective in the application of skills, and maintain concern for the best interests of person(s) served or supervised, colleagues, and society as a whole.

(5) To protect the public confidence, public behavior shall reflect a high level of moral and ethical behaviors.

(6) When making public statements, licensees shall:

(a) Provide information about professional services and products that do not contain misrepresentations or claims that are false, deceptive, or misleading.

(b) Provide accurate information about the nature and management of communicative disorders, the professions, and services rendered to persons served or supervised professionally.

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- (c) Announce services in a manner consonant with the highest professional standards in the community.
 - (7) Licensees shall not mislead or limit services with person(s) served or supervised based on professional or commercial affiliations.
 - (8) Licensees shall subscribe to these principles and the code of ethics adopted by the board and agree to abide by the rules of the board and Chapter 4753. of the Revised Code.
 - (9) Licensees shall report to the board any violation of the board rules or any breach of the code of ethics that he/she is aware of.
- (B) Fundamental rules considered essential. Violation of the code of ethics shall be considered unprofessional conduct.
- (1) Licensees shall maintain professional behavior.
 - (a) Licensees shall not engage in dishonesty, fraud, deceit, misrepresentation, or illegal conduct that adversely reflects on the profession or the individual.
 - (b) Licensees shall not practice under the influence of illegal substances, alcohol, or other chemicals that may impair decision making or quality of care.
 - (c) Licensees shall maintain a professional relationship with the board.
 - (i) Licensees shall conduct their practice according to Chapter 4753. of the Revised Code and agency-level 4753 of the Administrative Code.
 - (ii) Licensees shall cooperate with all lawful requests of the board within thirty calendar days.
 - (iii) The denial or revocation of licensure in another state, or from another board in this state, may result in denial or revocation of licensure by the board.
 - (d) When patients from a primary employment setting are seen in another setting, the person(s) served professionally shall be fully informed of services available from the licensee's primary employment setting as well as those from the private practice and given freedom to choose whether and from whom they will obtain professional services.
 - (i) The costs associated with obtaining services from the licensee's primary employment setting versus those associated with the private practice shall be made clear.

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- (ii) Practitioners accepting cases in a private setting from their primary place of employment shall inform the administrator at their primary employment setting of the intent.
- (2) Licensees shall maintain records and keep confidentiality of person(s) served, including:
 - (a) Maintaining adequate records of professional services rendered.
 - (b) Providing appropriate access to records of person(s) served professionally.
 - (c) Not disclosing to unauthorized persons any confidential information obtained from any person(s) served or supervised professionally without the written consent of person(s) served or his/her legal guardian unless required by law.
 - (d) Being compliant with all state and federal laws and regulations relating to records keeping, records access and patient confidentiality.
- (3) Licensees shall exhibit professional behavior in the delivery of services by:
 - (a) Accurately represent his/her training, credentials and competence.
 - (b) Provide only services for which he/she is properly trained.
 - (c) Continue their professional development throughout their careers.
 - (d) Accept for treatment, persons:
 - (i) Who can reasonably be expected to benefit from services and continue with treatment when there is reasonable expectation of further benefit.
 - (ii) Following the exercise of independent professional judgment, regardless of referral source or prescription.
 - (e) Fully inform person(s) served of the nature and possible effects of service
 - (f) Secure all reasonable precautions to avoid injury to persons in the delivery of professional services including but not limited to the following:
 - (i) Established guidelines for infection control.
 - (ii) Established procedural techniques.
 - (iii) Safety guidelines for equipment.

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- (g) Provide only services and products that are in the best interest of person(s) served.
- (h) Evaluate services rendered and products dispensed to determine effectiveness.
- (i) Ensure that all equipment used in the provision of services is in proper working order and is properly calibrated.
- (j) Not guarantee the results of any speech or hearing consultative or therapeutic procedure.
 - (i) A guarantee of any sort, express or implied, oral or written, is contrary to professional ethics.
 - (ii) A reasonable statement of prognosis is appropriate, but factors, hence, any warranty for services and outcomes is deceptive and unethical.
- (k) Use every resource available, including referrals to other specialists as needed, to effect maximum improvement in person(s) served. Licensees shall:
 - (i) Identify competent, dependable referral sources for person(s) served professionally.
 - (ii) Include referrals to other audiologists and speech-language pathologists when the scope and nature of the indicated evaluation and/or treatment is beyond the training of the licensee.
 - (iii) Not order excessive tests, treatment or use of treatment facilities when not warranted by the condition of the person(s) served.
- (l) Licensees shall be compliant with all state and federal laws and regulations governing the practice of speech-language pathology and audiology and the dispensing and selling of products.
- (4) Licensees supervising conditional licensees, aides, and students: shall prohibit anyone under their supervision from engaging in any practice that violates Chapter 4753. of the Revised Code or agency-level 4753 of the Administrative Code including the code of ethics.
 - (a) Supervisors of clinical practice shall:
 - (i) Provide direct and indirect clinical supervision.
 - (ii) Maintain adequate records of direct and indirect supervision rendered.

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(iii) Not supervise the clinical practice of a student or aide, while completing the supervised professional experience required for licensure under section 4753.06 of the Revised Code.

(b) Supervisors of supervised professional experience shall:

(i) Not delegate any service requiring the professional competence of a licensed clinician to anyone unqualified.

(ii) Limit conditional licensees to providing services pursuant only to a specific plan approved by the board.

(c) Aide supervisors shall:

(i) Ensure aides provide services pursuant only to a specific plan approved by the board.

(ii) Assume full responsibility of services provided by the aide.

(iii) Not offer clinical services by aides for whom they do not provide appropriate supervision.

(iv) Ensure aides do not represent himself/herself to the public as a speech-language pathologist or audiologist.

(v) Ensure aides abide by Chapter 4753. of the Revised Code and agency-level 4753 of the Administrative Code.

(5) Licensees performing research shall:

(a) Ensure persons selected for research be informed of their free choice to participate and guarantee their right to privacy.

(b) Inform person(s) served and research subjects about the nature and effects of research activities.

(c) Use established methods and techniques in research.

(d) Exercise all reasonable precautions to protect the health and welfare of person(s) and their rights.

(e) Assign credit to those who have contributed to a publication and development of materials in proportion to their contribution.

(f) Credit reference sources.

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- (g) Honestly and accurately report findings in a non-misleading manner.
 - (h) Enter into agreements with those funding research that allow the researcher to honestly and accurately report findings even when results of research do not positively reflect on the funding source and/or the funding source's services or products.
 - (i) Disclose funding sources of research resulting in publications, presentations, products, and/or clinical procedures, and/or cited in presentations.
- (6) Business practices shall be compliant with regional, state and federal laws.
- (a) Licensees shall:
 - (i) Charge fees commensurate with services rendered.
 - (ii) Not charge for services not rendered.
 - (iii) Abide by federal, state and regional laws regarding billing for services and products rendered.
 - (iv) Not enter into relationships, which pose or appear to pose a conflict of interest.
 - (a) Licensees shall not accept compensation from a manufacturer, dealer, distributor, or sales person of prosthetics or other devices for recommending a particular product or service, including but not limited to, monetary, gift or travel incentives.
 - (b) Licensees who are faculty at meetings and/or consultants who provide instruction may receive reasonable honoraria and reimbursement of travel, lodging and meal expenses from manufacturers, dealers distributors and sales persons of prosthetics or other devices or services.
 - (c) Licensees who are faculty at meetings and/or consultants who provide instruction shall disclose to participants potential conflicts of interest.
 - (d) Kickbacks in violation of federal and state statutes shall not be accepted.
 - (e) Licensees owning stock or having financial interests in a company whose products he/she sells, dispenses or recommends shall

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disclose to person(s) served the relationship and financial or consultative interest.

- (v) Not engage in commercial activities that conflict with the responsibilities to person(s) served or supervised by him/her professionally or to professional colleagues.
- (b) Licensees shall be compliant with state and federal laws and regulations regarding business practices, sales practices, including safe harbor and antitrust laws.
- (7) Licensees may practice via telecommunications within the state where not prohibited by law.
 - (a) Support personnel in off-site locations assisting in clinical care, shall be licensed aides under Chapter 4753. of the Revised Code.
 - (b) Evaluation and/or treatment shall not be solely by correspondence.